

Electronic Acknowledgement Receipt

EFS ID:	2993078
Application Number:	09844198
International Application Number:	
Confirmation Number:	2147
Title of Invention:	Computer-implemented method and apparatus for adjusting the cost basis of a security
First Named Inventor/Applicant Name:	Nicco Willis
Customer Number:	39602
Filer:	Daniel J. Noblitt/Sally Hartway
Filer Authorized By:	Daniel J. Noblitt
Attorney Docket Number:	10060.0100
Receipt Date:	13-MAR-2008
Filing Date:	27-APR-2001
Time Stamp:	13:22:29
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$230
RAM confirmation Number	10154
Deposit Account	502993
Authorized User	NOBLITT,DANEIL J

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		10060-0100_2008-03-11_ResponseToOA.pdf	419329 71aa9a2dd679718ee6bb5d996512b77036103a7e	yes	9

Multipart Description/PDF files in .zip description

Document Description	Start	End
Extension of Time	1	1
Amendment - After Non-Final Rejection	2	2
Applicant Arguments/Remarks Made in an Amendment	3	9

Warnings:**Information:**

2	Fee Worksheet (PTO-06)	fee-info.pdf	8181 b6cf43151518992189ad94f9d093f2ccbada1cd0	no	2
---	------------------------	--------------	--	----	---

Warnings:**Information:****Total Files Size (in bytes):**

427510

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.